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02/04/02

J-525 U.S. PTO

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |  |             |
|------------------------|--|-------------|
| Attorney Docket No.    |  | 12073       |
| First Inventor         |  | WERNER HINZ |
| Title                  | IMPROVED PROCESS FOR THE<br>COPOLYMERIZATION OF ALKYLENE OXIDES AND<br>CARBON DIOXIDE USING SUSPENSIONS OF<br>MULTIMETAL CYANIDE COMPOUNDS |             |
| Express Mail Label No. | E1900586571US  |             |

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages (40)]  
(preferred arrangement set forth below)  
Descriptive title of the invention  
Cross reference to related applications  
Statement regarding Fed sponsored R & D  
Reference to sequence listing, a table, or a  
Computer program listing appendix  
Background of the Invention  
Brief Summary of the invention  
Brief Description of the Drawings  
Detailed description  
Claim(s)  
Abstract of the Disclosure
4. ☐ Drawing(s) (35 USC 113) [Total Sheets (1)]
5. ☐ Oath or Declaration [Total Pages (1)]  
a. ☐ Newly executed (original copy)  
b. ☐ From a prior application (37 CFR 1.63)  
(d)  
(for continuation/divisional with Box 18 completed)  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
Named in the prior application see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:  
Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignment)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement ☒ Copies of IDS  
(IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 USC 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_\_  
Group Art Unit: \_\_\_\_\_

Prior application information Examiner \_\_\_\_\_  
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☐ Correspondence address below

Name

Address

City

Country

28484

PATENT TRADEMARK OFFICE

State

Telephone

Zip Code

Fax

Name (Print/Type)

FERNANDO A. BORREGO

Registration No. (Attorney/Agent)

34,780

Signature

Date

Feb 4, 2002

PTO  
S.B. 066855  
10/066855

02/04/02

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

Complete if Known

Application Number N/A  
 Filing Date Herewith  
 First Named Inventor WERNER HINZ  
 Examiner Name N/A  
 Group / Art Unit N/A  
 Attorney Docket No 12073

TOTAL AMOUNT OF PAYMENT

(\$ 740.00

## METHOD OF PAYMENT (check one)

1. **X** The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.

23-3425

Deposit Account Name

BASF CORPORATION

Charge Any Additional Fee Required  
☐ Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
 See 37 CFR 127

2. Payment Enclosed:

Check

☐ Money Order

☐ Other

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity<br>Fee Code          | Fee (\$) | Small Entity<br>Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|-----------------------------------|----------|--------------------------|----------|--|----------|
| 105                               | 130      | 205                      | 65       | Surcharge - late filing fee or oath  |          |
| 127                               | 50       | 227                      | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                               | 130      | 139                      | 130      | Non-English specification  |          |
| 147                               | 2,520    | 147                      | 2,520    | For filing a request for reexamination                                     |          |
| 112                               | 920*     | 112                      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113                               | 1,840*   | 113                      | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115                               | 110      | 215                      | 55       | Extension for reply within first month                                     |          |
| 116                               | 390      | 216                      | 195      | Extension for reply within second month                                    |          |
| 117                               | 890      | 217                      | 445      | Extension for reply within third month                                     |          |
| 118                               | 1,390    | 218                      | 695      | Extension for reply within fourth month                                    |          |
| 128                               | 1,890    | 228                      | 945      | Extension for reply within fifth month                                     |          |
| 119                               | 310      | 219                      | 155      | Notice of Appeal   |          |
| 120                               | 310      | 230                      | 155      | Filing a brief in support of an appeal                                     |          |
| 121                               | 270      | 221                      | 135      | Request for oral hearing   |          |
| 121                               | 1,510    | 138                      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                               | 110      | 240                      | 55       | Petition to revive - unavoidable   |          |
| 141                               | 1,240    | 241                      | 620      | Petition to revive - unintentional   |          |
| 142                               | 1,240    | 242                      | 620      | Utility issue fee (or resub)   |          |
| 143                               | 440      | 243                      | 220      | Design issue fee   |          |
| 144                               | 600      | 244                      | 300      | Plant issue fee  |          |
| 122                               | 130      | 122                      | 130      | Petitions to the Commissioner  |          |
| 123                               | 50       | 123                      | 50       | Petitions related to provisional applications                              |          |
| 126                               | 240      | 126                      | 240      | Submission of Information Disclosure Sheet                                 |          |
| 581                               | 40       | 581                      | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146                               | 710      | 246                      | 355      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149                               | 710      | 249                      | 355      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| Other fee (specify) _____         |          |                          |          |  |          |
| Other fee (specify) _____         |          |                          |          |  |          |
| *Reduced by Basic Filing Fee Paid |          |                          |          |  |          |
| SUBTOTAL (3)                      |          |                          |          |  | (\$ 0.00 |

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity<br>Fee Code | Fee (\$) | Small Entity<br>Fee Code | Fee (\$) | Fee Description        | Fee Paid   |
|--------------------------|----------|--------------------------|----------|------------------------|------------|
| 101                      | 710      | 201                      | 355      | Utility filing fee     | \$740.00   |
| 106                      | 320      | 206                      | 160      | Design filing fee      |            |
| 107                      | 490      | 207                      | 245      | Plant filing fee       |            |
| 108                      | 710      | 208                      | 355      | Resub filing fee       |            |
| 114                      | 150      | 214                      | 75       | Provisional filing fee |            |
| SUBTOTAL (1)             |          |                          |          |                        | (\$ 740.00 |

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 20                 | -20** = 0    | X              |          |
| Indep. Claims      | 1            | -3** =         |          |
| Multiple Dependent |              |                |          |

\*\*or number previously paid, if greater. For Resub, see below

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                     |
| 102          | 80       | 202          | 40       | Independent claims in excess of 3                          |
| 104          | 270      | 204          | 135      | Multiple dependent claim, if not paid                      |
| 109          | 80       | 209          | 40       | ** Reissue independent claims over original patent         |
| 110          | 18       | 210          | 9        | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) |          |              |          | (\$0.00  |

Typed or Printed Name  
Signature

FERNANDO A. BORRERO

*Fernando Borro*

Registration No (Attorney/Agent)

34,780

Telephone

(734) 324-6193

Date

Feb 4, 2002